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OFFICE OF THE CLERK  
U.S. HOUSE OF REPRESENTATIVESU.S. House of Representatives  
Committee on Ethics

## MEMBER / OFFICER POST-TRAVEL DISCLOSURE FORM

This form is for disclosing the receipt of travel expenses from a private source for travel taken in connection with a Member or officer's official duties. This form does not eliminate the need to report privately-funded travel on the Member or officer's annual Financial Disclosure Statement. In accordance with House Rule 25, clause 5, you must complete this form and file it with the Clerk of the House, 135 Cannon House Office Building, within 15 days after travel is completed. Please do not file this form with the Committee on Ethics.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

1. Name of Traveler: Ted Budd
2. a. Name of accompanying relative: Amy Kate Budd or None ☐  
b. Relationship to Traveler: ☒ Spouse ☐ Child ☐ Other (specify): \_\_\_\_\_
3. a. Dates of departure and return: Departure: Aug 9<sup>th</sup>, 2017 Return: August 15<sup>th</sup> 2017  
b. Dates at personal expense: \_\_\_\_\_ or None ☒
4. Departure city: Greensboro, NC Destination: Oslo, Norway Return city: Charlotte, NC
5. Sponsor(s) (who paid for the trip): The Aspen Institute, Inc
6. Describe meetings and events attended (attach additional pages if necessary):  
\*program attached
7. Attached to this form are EACH of the following (signify that each item is attached by checking the corresponding box):
  - a. ☒ a completed Sponsor Post-Travel Disclosure Form;
  - b. ☒ the Primary Trip Sponsor Form completed by the trip sponsor prior to the trip, including all attachments and Grantmaking or Non-Grantmaking Sponsor Forms;
  - c. ☒ page 2 of the completed Traveler Form submitted by the Member or officer; and
  - d. ☒ the letter from the Committee on Ethics approving my participation on this trip.
8. a. I represent that I participated in each of the activities reflected in the sponsor's agenda. (Signify that statement is true by checking box): ☒  
b. If not, explain: \_\_\_\_\_

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge. I have determined that all of the expenses on the attached Sponsor Post-Travel Disclosure Form were necessary and that the travel was in connection with my duties as a Member or officer of the U.S. House of Representatives and would not create the appearance that I am using public office for private gain.

SIGNATURE OF MEMBER: \_\_\_\_\_

DATE: \_\_\_\_\_

**U.S. House of Representatives  
Committee on Ethics**

**SPONSOR POST-TRAVEL DISCLOSURE FORM**

This form must be completed by an officer of any organization that served as the primary trip sponsor in providing travel expenses or reimbursement for travel expenses to House Members, officers, or employees under House Rule 25, clause 5. ***A completed copy of the form must be provided to each House Member, officer, or employee who participated on the trip within 10 days of their return.*** You must answer all questions, and check all boxes, on this form for your submission to comply with House rules and the Committee's travel regulations. Failure to comply with this requirement may result in the denial of future requests to sponsor trips and/or subject the current traveler to disciplinary action or a requirement to repay the trip expenses.

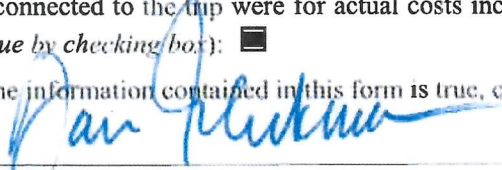
**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

1. Sponsor(s) (who paid for the trip): The Aspen Institute, Inc. (Congressional Program)
2. Travel Destination(s): Oslo, Norway
3. Date of Departure: August 9, 2017 Date of Return: August 15, 2017
4. Name(s) of Traveler(s): Rep. Ted Budd and Amy Kate Budd  
(NOTE: You may list more than one traveler on a form only if all information is identical for each person listed.)
5. **Actual amount** of expenses paid on behalf of, or reimbursed to, each individual named in response to Question 4:

	Total Transportation Expenses	Total Lodging Expenses	Total Meal Expenses	Other Expenses (dollar amount per item and description)
Traveler	\$5,292	\$705	\$625	\$1,084: mtg room expenses & conf. fees
Accompanying Relative	\$5,292	-0-	\$625	\$1,084: mtg. room expenses & conf. fees

6. All expenses connected to the trip were for actual costs incurred and not a *per diem* or lump sum payment. (Signify statement is true by checking box): ☒

I certify that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name: Dan Glickman

Title: VP-Aspen Institute; Executive Director-Congressional Program

Organization: The Aspen Institute, Inc.

**I am an officer of the above-named organization (signify statement is true by checking box):** ☒

Address: 1 Dupont Circle, NW, Suite 700, Washington, DC 20036

Telephone number: 202-736-5859 (Lisa Jones)

Email Address: lisa.jones@aspeninst.org

*Committee staff may contact the above-named individual if additional information is required.*

If you have questions regarding your completion of this form, please contact the Committee on Ethics at (202) 225-7103.

U.S. House of Representatives  
Committee on Ethics

TRAVELER FORM

1. Name of Traveler: Theodore Paul Budd
2. Sponsor(s) (who will be paying for the trip): The Aspen Institute
3. Travel destination(s): Oslo, Norway
4. a. Date of departure August 9, 2017 Date of return: August 15, 2017  
b. Will you be extending the trip at your personal expense? ☐ Yes ☒ No  
If yes, dates at personal expense: \_\_\_\_\_
5. a. Will you be accompanied by a relative at the sponsor's expense? ☒ Yes ☐ No  
b. If yes:  
(1) Name of accompanying relative: Amy Kate Budd  
(2) Relationship to traveler: ☒ Spouse ☐ Child ☐ Other (specify): \_\_\_\_\_  
(3) Accompanying relative is at least 18 years of age: ☒ Yes ☐ No
6. a. Did the trip sponsor answer "yes" to Question 9(d) on the Primary Trip Sponsor Form (i.e., travel is sponsored by an entity that employs a registered federal lobbyist or foreign agent and you are requesting lodging for two nights)? ☐ Yes ☒ No  
b. If yes, explain why the second night of lodging is warranted:  
\_\_\_\_\_  
\_\_\_\_\_
7. Primary Trip Sponsor Form is attached, including agenda, invitee list, and any other attachments and contributing sponsor forms: ☒ Yes ☐ No  
NOTE: The agenda should show the traveler's individual schedule, including departure and arrival times and identify the specific events in which the traveler will be participating.
8. Explain why participation in the trip is connected to the traveler's individual official or representational duties. Staff should include their job title and how the activities on the itinerary relate to their duties.  
Visiting Norway will further enhance Congressman Budd's  
understanding of new and innovative energy policy, which he can then  
discuss with colleagues and constituents back home.
9. Is the traveler aware of any registered federal lobbyists or foreign agents involved in planning, organizing, requesting, and/or arranging the trip? ☐ Yes ☒ No

10. **FOR STAFF TRAVELERS:**

**TO BE COMPLETED BY YOUR EMPLOYING MEMBER:**

**ADVANCED AUTHORIZATION OF EMPLOYEE TRAVEL**

I hereby authorize the individual named above, an employee of the U.S. House of Representatives who works under my direct supervision, to accept expenses for the trip described in this request. I have determined that the above-described travel is in connection with my employee's official duties and that acceptance of these expenses will not create the appearance that the employee is using public office for private gain.

Date: \_\_\_\_\_

\_\_\_\_\_  
Signature of Employing Member

U.S. House of Representatives  
Committee on Ethics

PRIMARY TRIP SPONSOR FORM

This form should be completed by private entities offering to provide travel or reimbursement for travel to House Members, officers, or employees under House Rule 25, clause 5. A completed copy of the form (and any attachments) should be provided to each invited House Member, officer, or employee, who will then forward it to the Committee together with a Traveler Form at least 30 days before the start date of the trip. The trip sponsor should NOT submit the form directly to the Committee. The Committee Web site ([ethics.house.gov](http://ethics.house.gov)) provides detailed instructions for filling out the form.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.**

1. Sponsor (who will be paying for the trip): \_\_\_\_\_  
The Aspen Institute, Inc. (Congressional Program) (AICP)
2. I represent that the trip will not be financed (in whole or in part) by a registered federal lobbyist or foreign agent (signify that the statement is true by checking box): ☒
3. Check only one: I represent that:
  - a. the primary trip sponsor has not accepted from any other source funds intended directly or indirectly to finance any aspect of the trip ☐ or
  - b. the trip is arranged without regard to congressional participation and the primary trip sponsor has accepted funds only from entities that will receive a tangible benefit in exchange for those funds ☐ or,
  - c. the primary trip sponsor has accepted funds from other source(s) intended directly or indirectly to finance all or part of this trip and has enclosed disclosure forms from each of those entities. ☒If "c" is checked, list the names of the additional sponsors:  
Luce, Democracy Fund, Rockefeller Brothers Fund, MacArthur foundations
4. Provide names and titles of ALL House Members and employees you are inviting. For each House invitee, provide an explanation of why the individual was invited (include additional pages if necessary):  
See attached list
5. Is travel being offered to an accompanying relative of the House invitee(s)? ☒ Yes ☐ No
6. Date of departure: August 9 2017 Date of return: August 15, 2017
7.
  - a. City of departure: Washington, DC or the district
  - b. Destination(s): Oslo, Norway
  - c. City of return: Washington, DC or the district
8. I represent that (check one of the following):
  - a. The sponsor of the trip is an institution of higher education within the meaning of section 101 of the Higher Education Act of 1965: ☐ or
  - b. The sponsor of the trip does not retain or employ a registered federal lobbyist or foreign agent: ☒ or
  - c. The sponsor employs or retains a registered federal lobbyist or foreign agent, but the trip is for attendance at a one-day event and lobbyist/foreign agent involvement in planning, organizing, requesting, or arranging the trip was *de minimis* under the Committee's travel regulations. ☐
9. Check one of the following:
  - a. I checked 8(a) or (b) above: ☒
  - b. I checked 8(c) above but am not offering any lodging: ☐
  - c. I checked 8(c) above and am offering lodging and meals for one night. ☐ or
  - d. I checked 8(c) above and am offering lodging and meals for two nights: ☐If "d" is checked, explain why the second night of lodging is warranted:



10. Attached is a detailed agenda of the activities the House invitees will be participating in during the travel (i.e., an hourly description of planned activities for trip invitees) (indicate agenda is attached by checking box): ☒

11. Check one:

a. I represent that a registered federal lobbyist or foreign agent will not accompany House Members or employees on any segment of the trip (signify that the statement is true by checking box): ☒ or

b. N/A -- trip sponsor is a U.S. institution of higher education. ☐

12. For each sponsor required to submit a sponsor form, describe the sponsor's interest in the subject matter of the trip and its role in organizing and/or conducting the trip:

Aspen Institute's Congressional Program (AICP) provides non-partisan educational forums for members of Congress on public policy issues. AICP has organized and will conduct the conference and has selected and invited the attendees. The foundations listed in Question 3C provide grant funding to AICP generally; they do not play a role in organizing and conducting the conference.

13. Answer parts a and b. Answer part c if necessary.

a. Mode of travel: Air ☒ Rail ☐ Bus ☐ Car ☐ Other ☐ (Specify: \_\_\_\_\_)

b. Class of travel: Coach ☐ Business ☒ First ☐ Charter ☐ Other ☐ (Specify: \_\_\_\_\_)

c. If travel will be first class or by chartered or private aircraft, explain why such travel is warranted:

14. I represent that the expenditures related to local area travel during the trip will be unrelated to personal or recreational activities of the invitee(s). (signify that the statement is true by checking box): ☒

15. I represent that either (check one of the following):

a. The trip involves an event that is arranged or organized without regard to congressional participation and that meals provided to congressional participants are similar to those provided to or purchased by other event attendees: ☐ or

b. The trip involves events that are arranged specifically with regard to congressional participation: ☒

If "b" is checked:

1) Detail the cost per day of meals (approximate cost may be provided):  
\$125 per person per day

2) Provide reason for selecting the location of the event or trip:  
Norway is at the forefront of innovative energy strategies and meeting there facilitates participation of Norwegian scholars and experts to provide an in-depth analysis of their energy policies.

16. Name, nightly cost, and reasons for selecting each hotel or other lodging facility:

Hotel name: Grand Hotel

City: Oslo

Cost per night: \$141

Reason(s) for selecting:

It offers required conference services and facility security was a key factor in choosing private meeting and dining space

Hotel name:

City:

Cost per night:

Reason(s) for selecting:

Hotel name:

City:

Cost per night:

Reason(s) for selecting:

17. I represent that all expenses connected to the trip will be for actual costs incurred and not a per diem or lump sum payment. (signify that the statement is true by checking box): ☒

18. TOTAL EXPENSES FOR EACH PARTICIPANT:

<input type="checkbox"/> actual amounts <input checked="" type="checkbox"/> good faith estimates	Total Transportation Expenses per Participant	Total Lodging Expenses per Participant	Total Meal Expenses per Participant
For each Member, Officer, or employee	\$5,000	\$705	\$625
For each accompanying relative	\$5,000	-0-	\$625

	Other Expenses (dollar amount per item)	Identify Specific Nature of "Other" Expenses (e.g., taxi, parking, registration fee, etc.)
For each Member, Officer, or employee	\$1,084	meeting room expenses and conf. fees
For each accompanying relative	\$1,084	meeting room expenses and conf. fees

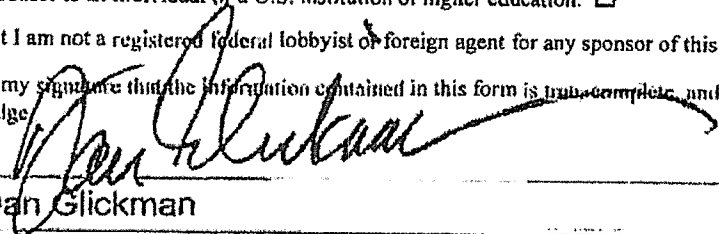
**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001.**

19. Check one:

- a. I certify that I am an officer of the organization listed below. ☒ or  
b. N/A – sponsor is an individual or a U.S. institution of higher education. ☐

20. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒

21. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: 

Name: Dan Glickman

Title: VP-Aspen Institute; Executive Director - Congressional Program

Organization: The Aspen Institute, Inc

Address: 1 Dupont Circle, NW, Suite 700, Washington, DC 20036

Telephone number: 202-736-5859 (Lisa Jones)

Email address: lisa.jones@aspeninst.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics  
U.S. House of Representatives  
1015 Longworth House Office Building  
Washington, DC 20515  
(202) 225-7103 (phone)  
(202) 225-7392 (general fax)

**Answer to Question 4 – House Ethics Primary Trip Sponsor Form  
Aspen Institute – Oslo Conference – 2017**

*4. Provide names and titles of all House members you are inviting. For each House invitee, provide an explanation of why the individual was invited.*

The invitees reflect a bipartisan delegation representing different geographical areas of the country, having various committee assignments with relevance to policy issues dealing with energy. These Members have an interest in deeper analysis with the benefit of American and international scholars.

Rep. Joe Barton, TX – Energy and Commerce Committee  
Rep. Brendan Boyle, PA – Budget Committee  
Rep. Ted Budd, NC – Financial Services Committee  
Rep. Bradley Byrne, AL – Armed Services Committee  
Rep. Chris Collins, NY – Energy and Commerce Committee  
Rep. Susan Davis, CA – Armed Services Committee  
Rep. Dan Donovan, NY – Homeland Security Committee  
Rep. Gene Green, TX – Energy and Commerce Committee  
Rep. Brian Higgins, NY – Ways and Means Committee  
Rep. Randy Hultgren, IL – Science, Space and Technology Committee  
Rep. Ann Kuster, D-NH – Agriculture Committee  
Rep. Doug Lamborn, CO – Natural Resources Committee  
Rep. Rick Larsen, WA – Transportation and Infrastructure Committee  
Rep. Nita Lowey, NY – Appropriations Committee  
Rep. Mark Meadows, NC – Transportation and Infrastructure Committee  
Rep. Pat Meehan, PA – Ways and Means Committee  
Rep. Chellie Pingree, ME – Appropriations Committee  
Rep. David Price, NC – Appropriations Committee  
Rep. Peter Roskam, IL – Ways and Means Committee  
Rep. Bobby Rush, IL – Energy and Commerce Committee  
Rep. Terri Sewell, AL – Ways and Means Committee  
Rep. Scott Tipton, CO – Natural Resources Committee  
Rep. Fred Upton, R-MI – Energy and Commerce Committee

U.S. House of Representatives  
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implied knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

**NOTE:** Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): The Henry Luce Foundation  
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.  
☒ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (complete a or b):
  - a. ☒ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
  - b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to \_\_\_\_\_ (destination) \_\_\_\_\_ on (date) \_\_\_\_\_ that is being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
  - a. ☒ My organization does not employ or retain a registered federal lobbyist or foreign agent or
  - b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature:



Name:

Tony Alice Volkman Title: Secretary & Director of Policy Initiatives

Organization:

The Henry Luce Foundation

Address:

51 Madison Ave., New York, NY 10010

Telephone number

212-489-7700

Email:

tvolkman@hluce.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics  
U.S. House of Representatives  
1015 Longworth House Office Building  
Washington, DC 20515  
(202) 225-7103 (phone)  
(202) 225-7392 (general fax)

Version: date 4/2011 by Committee on Ethics



U.S. House of Representatives  
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implied knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

**NOTE:** Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Democracy Fund  
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.  
☒ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute
3. I certify that my organization (complete a or b):
  - a. ☒ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
  - b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to  
(destination) \_\_\_\_\_ on (date) \_\_\_\_\_ that is  
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
  - a. ☒ My organization does not employ or retain a registered federal lobbyist or foreign agent or
  - b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: \_\_\_\_\_

Name: Joseph Goldman

Title: President

Organization: Democracy Fund

Address: 1333 New Hampshire Ave, Suite 730, Washington DC 20036

Telephone number: 202-465-8525 Email: jgoldman@democracyfund.org

If there are any questions regarding this form please contact the Committee at the following address

Committee on Ethics  
U.S. House of Representatives  
1015 Longworth House Office Building  
Washington, DC 20515  
(202) 225-7103 (phone)  
(202) 225-7392 (general fax)

U.S. House of Representatives  
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public entity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

**NOTE:** Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.

1. I certify that (name of your organization): Rockefeller Brothers Fund  
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.  
☒ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (complete a or b):
  - a. ☒ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducted an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
  - b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to  
(destination) \_\_\_\_\_ on (date) \_\_\_\_\_ that is  
being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
  - a. ☒ My organization does not employ or retain a registered federal lobbyist or foreign agent or
  - b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: [Signature]

Name: STEPHEN HEINTZ

Title: PRESIDENT

Organization: Rockefeller Brothers Fund

Address: 475 Riverside Drive, New York, NY 10115

Telephone number: 212-812-4389

Email: shaintz@rbf.org

If there are any questions regarding this form please contact the Committee at the following address:

Committee on Ethics  
U.S. House of Representatives  
1015 Longworth House Office Building  
Washington, DC 20515  
(202) 225-7103 (phone)  
(202) 225-7392 (general fax)

Version date 4/2013 by Committee on Ethics

U.S. House of Representatives  
Committee on Ethics

GRANTMAKING TRIP SPONSOR FORM

This form should be completed by a public charity or private foundation (both as defined under section 501(c)(3) of the Internal Revenue Code) that provides a grant of funds to another entity to underwrite, in whole or in part, a trip or an event, meal, or activity that will occur during a trip, or a necessary expense that will be incurred during a trip, with express or implicit knowledge or understanding that one or more House Members or employees may participate in or attend that trip or event, or otherwise may be beneficiaries of the gift or donation. Provide a copy of your completed form to the primary sponsor of the trip.

**NOTE: Willful or knowing misrepresentations on this form may be subject to criminal prosecution pursuant to 18 U.S.C. § 1001. Failure to comply with the Committee's Travel Regulations may also lead to the denial of permission to sponsor future trips.**

1. I certify that (name of your organization): The MacArthur Foundation  
has been designated a § 501(c)(3) nonprofit charitable organization by the Internal Revenue Service.  
☒ Yes ☐ No
2. Name of Primary Trip Sponsor: Aspen Institute Congressional Program
3. I certify that my organization (complete a or b):
  - a. ☒ Has provided a grant, gift, or donation to the above-named Primary Trip Sponsor and conducts an audit or review of its grant, gift, or donation to ensure that the funds are spent in accordance with the terms of its grant, gift, or donation. or
  - b. ☐ Has had a direct role in the organizing, planning, or conducting of a trip to (destination) \_\_\_\_\_ on (date) \_\_\_\_\_ that is being organized or arranged by the above-named Primary Trip Sponsor.
4. Check one:
  - a. ☒ My organization does not employ or retain a registered federal lobbyist or foreign agent or
  - b. ☐ My organization employs a registered federal lobbyist or foreign agent, but their involvement in planning, organizing, or arranging the trip was *de minimis* under the travel regulations.
5. I certify that I am not a registered federal lobbyist or foreign agent for any sponsor of this trip. ☒
6. I certify by my signature that the information contained in this form is true, complete, and correct to the best of my knowledge.

Signature: \_\_\_\_\_

Name: Jack J. Mintz

Title: Vice President & General Counsel

Organization: The John D. and Catherine T. MacArthur Foundation

Address: 140 S. Dearborn St.; Chicago, IL 60603

Telephone number: 312 420 6250

Email: jack@jdmf.org

If there are any questions regarding this form please contact the Committee at the following address

Committee on Ethics  
U.S. House of Representatives  
1015 Longworth House Office Building  
Washington, DC 20515  
(202) 225-7103 (phone)  
(202) 225-7392 (general fax)



Susan W. Brooks, Indiana  
*Chairwoman*  
Theodore E. Deutch, Florida  
*Ranking Member*



Patrick Meehan, Pennsylvania  
Trey Gowdy, South Carolina  
Kenny Marchant, Texas  
Leonard Lance, New Jersey

Yvette D. Clarke, New York  
Jared Polis, Colorado  
Anthony Brown, Maryland  
Steve Cohen, Tennessee

ONE HUNDRED FIFTEENTH CONGRESS

## U.S. House of Representatives

### COMMITTEE ON ETHICS

August 2, 2017

Thomas A. Rust  
*Staff Director and Chief Counsel*

Donna Herbert  
*Director of Administration*

Megan Savage  
*Chief of Staff and Counsel to  
the Chairwoman*

Daniel J. Taylor  
*Counsel to the Ranking Member*

1015 Longworth House Office Building  
Washington, D.C. 20515-6328  
Telephone: (202) 225-7103  
Facsimile: (202) 225-7392

The Honorable Ted Budd  
U.S. House of Representatives  
118 Cannon House Office Building  
Washington, DC 20515

Dear Colleague:

Pursuant to House Rule 25, clause 5(d)(2), the Committee on Ethics hereby approves your and your spouse's proposed trip to Norway, scheduled for August 9 to 15, 2017, sponsored by the Aspen Institute, Inc., with financial support from the Henry Luce Foundation, the Democracy Fund, the John D. and Catherine T. MacArthur Foundation, and the Rockefeller Brothers Fund.

You must complete a Member/Officer Post-Travel Disclosure Form and file it, together with a Sponsor Post-Travel Disclosure Form completed by the trip sponsor, with the Clerk of the House within 15 days after your return from travel. As part of that filing, you are also required to attach a copy of this letter and both the Traveler and Primary Trip Sponsor Forms (including attachments) you previously submitted to the Committee in seeking pre-approval for this trip. You must also report all travel expenses totaling more than \$390 from a single source on the "Travel" schedule of your annual Financial Disclosure Statement covering this calendar year. Finally, Travel Regulation § 404(d) also requires you to keep a copy of all request forms and supporting information provided to the Committee for three subsequent Congresses from the date of travel.

Because the trip may involve meetings with foreign government representatives, we note that House Members may accept, under the Foreign Gifts and Decorations Act (FGDA), gifts "of minimal value [currently \$390] tendered as a souvenir or mark of courtesy" by a foreign government. Any tangible gifts valued in excess of minimal value received from a foreign government must, within 60 days of acceptance, be disclosed on a Form for Disclosing Gifts from Foreign Governments filed with the Committee, and either turned over to the Clerk of the House, or, with the written approval of the Committee, retained for official use.

If you have any further questions, please contact the Committee's Office of Advice and Education at extension 5-7103.

Sincerely,



Susan W. Brooks  
Chairwoman



Theodore E. Deutch  
Ranking Member

SWB/TED:wfs





THE ASPEN INSTITUTE

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Dan Glickman

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Executive Director

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Congressional Program

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June 19, 2017

The Honorable Ted Budd  
U.S. House of Representatives  
Washington, DC 20515

Dear Ted:

I would like to invite you and Amy Kate to participate in a congressional conference on ***Energy for America: Opportunities, Challenges, and Solutions***, August 9-15, 2017, in Oslo, Norway, during the August recess. We have limited space and must hear from you proactively as soon as possible if you wish to participate.

The energy picture has changed considerably in recent years. Technological advances have expanded production, making the U.S. the world's largest oil producer, which has kept prices down and lessened the role of traditional oil-producing countries. At the same time, efficiencies of scale have made renewable energy use more prominent. Major changes in the auto industry are underway that will have significant impact on energy consumption in the future. All these issues and more will be analyzed in a comprehensive four-day focus reflected on the attached agenda outline, drawing policy examples when appropriate from the experience of Norway, a major oil & gas producer, yet also in the forefront on electric vehicles and renewable energy. The agenda is attached.

We will have a mix of contributors from Norway as well as prominent American and European scholars to assist our discussions. Attendance is by invitation only, with no outside observers, lobbyists, or congressional staff. Funding is provided solely by grants from foundations—no government, individual, corporate or special interest funds are accepted.

Under guidelines established by the House Committee on Standards of Official Conduct, we will provide you with a signed Private Sponsor Travel Certification Form affirming that no lobbyists fund this activity, that the Aspen Institute does not hire or retain lobbyists, and that no lobbyists will be present. Our purpose is education, not advocacy.

If your accompanying spouse or family member is a registered lobbyist, under the ethics rules of the House, their participation would not be allowed. Guidance from the Committee will not allow us to accept any enrollments less than one month prior to the conference.

Departure will be on Wednesday, August 9<sup>th</sup> and we will return on Tuesday, August 15<sup>th</sup>. Should you accept the invitation, it is required that you participate through the duration of the conference.

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One Dupont Circle, NW

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Suite 700

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Washington, DC 20036-1133

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[www.aspeninstitute.org](http://www.aspeninstitute.org)

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The Honorable Ted Budd  
June 19, 2017  
Page Two

Expenses for you and Amy Kate, including business class airfare on a carrier with whom we have negotiated a fare, lodging and meals will be paid by the Aspen Institute Congressional Program. Under the ethics rules, no expenses are covered for entertainment or recreation. Congressional participants are limited to only one accompanying family member.

The Aspen Institute, an independent, nonprofit organization founded in 1950, provides a nonpartisan, neutral forum for leaders to discuss critical issues. The Institute's Congressional Program is designed to promote leadership on selected public policy issues in the Congress by bringing legislators together with internationally-recognized scholars and analysts in high-level discussion. Since the program's inception, over 410 Members of Congress have participated in the 133 conferences we have conducted.

Enclosed is a fact sheet on the program. In addition to answering any questions you may have, it has proven to be useful in responding to public and press inquiries.

Additionally, we hold breakfast meetings in the Capitol throughout the year to keep Members of Congress up-to-date between our annual conferences. We hope you will be able to participate in the extended educational offerings of our breakfast series.

If you have any questions, please feel free to call me at 202-736-5825. I hope you and Amy Kate will join us for this important conference.

Sincerely,

A handwritten signature in black ink, appearing to read "Dan", written in a cursive style.

Dan Glickman

Enclosures

# **ENERGY FOR AMERICA: OPPORTUNITIES, CHALLENGES, AND SOLUTIONS**

Agenda overview as of 6/29/2017 THE ASPEN INSTITUTE CONGRESSIONAL PROGRAM

August 9-15, 2017

Oslo, Norway

## **WEDNESDAY, August 9:**

American participants depart the USA

Rep. Ted Budd and his wife, Mary Kate Budd, depart Greensboro, NC, at 2:38 pm on United 6210.

## **THURSDAY, August 10:**

All participants arrive in Oslo

Rep. Ted Budd and Mary Kate Budd arrive Oslo at 12:20 pm on IcelandAir 318.

6:30-8:30 pm

Working Dinner

Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Discussion will focus on the opportunities, challenges and potential solutions regarding energy policy.

## **FRIDAY, August 11:**

7:30-9:00 am

Breakfast is available to all participants

8:00 am

Scholars-Only Breakfast Meeting to Review Conference Format

9:00 am

### **INTRODUCTION AND FRAMEWORK OF THE CONFERENCE**

*Dan Glickman, Executive Director, Aspen Institute Congressional Program*

9:15 am

Roundtable Discussion

### **HOW A MAJOR OIL COMPANY POSITIONS ITSELF IN A WORLD OF LOW OIL PRICES, RAPIDLY CHANGING TECHNOLOGY, AND PUBLIC POLICY DEMANDS**

Statoil is one of the world's largest and most successful oil companies, with outstanding technological and operating resources, and special skills and experience in deep offshore oil. The government of Norway is a majority shareholder. But it is facing major challenges, as oil prices have been soft for years, demand in many nations seems to have peaked, and the world is moving to low-carbon energy sources. Indicative of changing trends, Statoil, which has investments in off-shore wind generation, recently announced that it would increase investments in renewables from 5% to 15-20% of its mix.

- How can Statoil prosper in a low oil price world?

- What role do oil companies have in renewable energy?
- Is “peak oil” real? Will it be driven by peak demand or peak supply?
- Will all of the world’s oil eventually be consumed? Does it matter if a proportion of the world’s oil will be “stranded”—left in the ground?
- How is Statoil positioning for the future given the low price of oil and the growing demand for low-carbon energy?
- What is Statoil’s perspective on climate change?

**Eldar Saetre**, CEO, Statoil, Stavanger, Norway

11:00 am

Break

11:15 am

Roundtable Discussion

**ENERGY AND TECHNOLOGY:**

**WHAT ARE THE BIG TRENDS AND WHAT DO THEY MEAN FOR THE WORLD?**

**WHAT ARE THE STATUS AND PROSPECTS FOR OIL, NATURAL GAS, COAL, SOLAR, WIND, NUCLEAR, & ENERGY EFFICIENCY?**

Our energy options depend on our technology: A diverse menu of technologies provides a rich menu of choices of energy for transportation, electricity, home heating, and industry. Technology development depends on a complex mixture of (a) market demand; (b) public policy; (c) government and private research & development (R&D); and (d) innovation ecosystems.

- What are the trends in technology development—in conventional and new energy sources; and are they driven by the private sector or by government-sponsored research?
- How do technology prices differ in character from commodity prices? What are the implications of recent price trends?
- How have today’s energy technologies been impacted by public policy—including, e.g., directional drilling, fracking, nuclear power, solar, and wind.
- What policy strategies can rapidly accelerate new energy technology? What are the most fruitful or necessary new frontiers in energy technology?
- What are the best practices for federal energy R&D? What is working? What is missing? Are the magnitudes correct? Can federal policy incentivize privately funded research?
- How can utilities effectively deal with the intermittency issue of supply of renewable power (depending on the wind and the sun), given the constant demand for electricity from consumers?

- How crucial is development of better battery storage power to a successful Electric Vehicle (EV) evolution, and does this have promise?
- Will technology provide a gateway for clean coal and carbon sequestration?
- Why is nuclear power on the decline in the U.S. while its use is expanding in Asia?

**Eric Beinhocker**, Executive Director, Institute of the New Economic Thinking,  
University of Oxford

**Arun Majumdar**, Director, Precourt Institute for Energy, Stanford University;  
former Founding Director of Advanced Research Projects Agency-Energy

1:00-2:30 pm

Working Luncheon

**NORWAY'S UNIQUE APPROACH TO ENERGY POLICY  
CHALLENGES**

Norway has made a series of deliberate moves to decarbonize and strengthen its economy at the same time, and has also played an outsized role in global efforts to reduce deforestation. Norway's grid is now 95% carbon-free, its building stock is the most efficient (for new construction) in the world, and its adoption of electric vehicles is now the highest. The country's sovereign wealth fund, created by its oil resources, has had a significant impact in these developments. These policies, and Norway's international engagement, have allowed the country to prosper as it drives an energy transition.

**Vidar Helgesen**, Norway's Minister of Climate and Environment

3:00-4:30 pm

*Individual Discussions*

Members of Congress and scholars meet individually to discuss U.S. energy policy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning and luncheon sessions include Eldar Saetre, Eric Beinhocker, Arun Majumdar and Vidar Helgesen.

5:30-6:30 pm

*Pre-dinner Remarks*

**NORWAY'S ROLE IN ENERGY POLICY**

Norway is uniquely positioned to be a world leader in innovative energy policy. Creation of its sovereign wealth fund, which draws revenue from off-shore oil production, has been a source of funding to spark innovative approaches to energy policy, leading to a substantial increase in the mix of renewables as well as advanced development of electric vehicles.

**Børge Brende**, Norway's Foreign Minister



6:30-8:30 pm

**Working Dinner**

Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Scholars will discuss with members of Congress their perspective on the successes and failures of Norway's energy policies and anticipated trends in technology and energy.

**SATURDAY, August 12:**

7:30-9:00 am

Breakfast is available to all participants

9:00 am

Roundtable Discussion

**THE INTERNATIONAL FRAMEWORK FOR ENERGY POLICY:  
THE GEOPOLITICS OF ENERGY, THE CHANGING ROLE OF OPEC,  
AND ENERGY DIRECTIONS IN EUROPE**

Energy can be a cause of both serious economic and national security challenges. The U.S. has suffered recessions from oil embargos and high energy prices, and our national security has been vulnerable to our dependence on Mideast oil. America's appetite for foreign oil has also been a financial lifeline for some unsavory groups and governments. The U.S. has also at times made low cost oil or electricity an economic asset. Europe has a complicated mix of European Union-wide and national energy policies, along with liberalizing energy markets and strong climate targets, and some of these policies may provide applicable lessons for the U.S.

- What are the strategic implications of the current approach to energy policy in the U.S., and how can we better position America in the future?
- How is the EU-wide energy trend working out? Is the *Energiewende* (Germany's energy transition) working, or not?
- How are the geopolitics of energy evolving?
- What kind of energy mix best positions the U.S. economy going forward?
- Has the U.S. already achieved energy independence, and if so, how? Is this sustainable? What are the global implications?
- How have oil imports affected the U.S. strategic position in the Middle East?
- How are U.S. military operations affected by military oil consumption—both in terms of supply chain logistics and vulnerabilities?
- How will U.S. military capabilities be affected by rising sea levels?
- What are the human migration/ refugee implications of climate change, and how will they affect the political order?

- Is the EU carbon trading system working and are there lessons to be learned?
- Do the Paris climate accords drive energy policy?

**Amy Myers Jaffe**, Executive Director, Energy & Sustainability, UC-Davis  
**Camilla Bausch**, Director, Ecologic Institute, Berlin

11:00 am Break

11:15 am Roundtable Discussion Continues

1:00-2:30 pm Working Luncheon  
**THE CHANGING GLOBAL ENERGY PICTURE:  
 A CORPORATE VIEWPOINT**

Shell Oil, the world's second largest publicly traded energy company, faces the dual challenge of weak oil prices and a global demand for new fuels and methods of transportation, including the growth of electric vehicles. How will this oil company evolve? What is the appropriate role for an oil company in this complex environment? How do Shell scenarios position the company for a robust future?

**Charles O. "Chad" Holliday**, Board Chairman, Royal Dutch Shell

3:00-4:30 pm *Individual Discussions*  
 Members of Congress and scholars meet individually to discuss U.S. energy policy. Scholars available to meet individually with members of Congress for in-depth discussion of Ideas raised in the morning and luncheon sessions include Camilla Bausch, Amy Myers Jaffe, and Charles O. "Chad" Holliday.

6:30-8:30 pm Working Dinner  
 Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Scholars will discuss with Members of Congress the impact of OPEC, and the changing dynamics of energy sources and energy needs.

**SUNDAY, August 13:**

7:30-8:55 am Breakfast is available to all participants

9:00 am Roundtable Discussion  
**POLICIES THAT WORK:  
 HOW TO DESIGN ENERGY POLICIES THAT MEET U.S. GOALS;**

## **KEY DESIGN PRINCIPALS TO MAXIMIZE PRODUCTION, EFFICIENCY AND INVESTMENT; AND THE ROLE OF RESEARCH AND DEVELOPMENT**

Our energy future is deeply affected by government policy. The U.S. has a complex mix of federal policy, and 50 more brands of state policy—with many inconsistencies and inefficiencies. What kind of policy maximizes the power of the market, accelerates technology, and enables the country to meet its goals of reliable, affordable, and clean energy?

- How can energy policy be set for key national goals rather than to favor one or another technology?
- Many technologies, such as advanced nuclear or fuel cells, are currently non-competitive, but could become so if their prices drop through R&D and early deployment. How should such “learning curves” inform policy?
- How should government subsidies be structured for new technologies so that they can be weaned as quickly as possible? Are subsidies for traditional energy sources still needed? For solar and wind?
- What kinds of performance standards are the best for meeting national policy? Is this an appropriate federal or state role?
- What is the right role for pricing—in the form of taxes, or subsidies, including for example a carbon tax?
- What is the appropriate role for states in energy policy?
- How can the federal government help (or avoid hindering) good state policy?

**Hal Harvey**, Chief Executive Officer, Energy Innovation, San Francisco

11:00 am

Break

11:15 am

Roundtable Discussion

### **THE FUTURE GRID AND HOW TO MAKE IT MORE RELIABLE, CLEANER AND AFFORDABLE: LESSONS FROM CALIFORNIA**

The power mix on the national grid is changing rapidly. By 2030, for example, both New York and California will be 50 percent renewables; adding in existing hydro and nuclear will make them 65 percent carbon-free. Variable energy sources require a new operating paradigm. There are some great technologies and techniques—including new power markets—that can help. But these will often require both new wholesale electricity markets, and new business models for utilities.

- Is the national grid vulnerable to technical failure or sabotage?

- Does the national grid need an upgrade? If so, what are the roles and responsibilities of utilities and government?
- What are the trends for power supply in California? In the U.S.? Are brown-outs on the horizon?
- What are the new challenges faced from a growing renewable energy mix?
- Can the grid run on 50 percent renewable energy? More?
- Are there new technologies that will help manage large-scale renewables? Demand-response? Efficiency? Better grids? Fast-ramping fossil? Batteries or other electricity storage?
- Do wholesale electricity markets need to be restructured?
- If so, what can the federal government do to enable this transition?

**Steve Berberich**, Chief Executive Officer,  
California Independent System Operator, Folsom, CA

- 1:00-2:00 pm      **Working Luncheon**  
Discussion continues between members of Congress and scholars on the challenges for the U.S. energy policy.
- 2:30-4:00 pm      *Individual Discussions*  
Members of Congress and scholars meet individually to discuss U.S. energy policy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning and luncheon sessions include Hal Harvey and Steve Berberich.
- 6:30-8:30 pm      **Working Dinner**  
Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Scholars and Members of Congress will discuss designing policies to achieve US energy goals and how to have a reliable and cleaner grid in the future.

**MONDAY, August 14:**

- 7:30-8:55 am      Breakfast is available to all participants
- 9:00 am      Roundtable Discussion  
**TRANSFORMING VEHICLES AND ENERGY NEEDS OF  
TRANSPORTATION:  
HOW WILL THE ELECTRIC VEHICLE REVOLUTION PROCEED?**

The auto industry is in the midst of two revolutions at once: electrification and self-driving vehicles. And both are in the context of public health demands for lower conventional pollution, and global demands for lower greenhouse gas emissions. How will this unfold? What is the role for federal policy? With millions of drivers wasting hundreds of hours annually stuck in traffic congestion, there must be more sensible, energy efficient means to move around.

- How fast is electrification of vehicles really moving? What are the hindrances, opportunities, economic and policy challenges?
- Can trucking fleets be converted?
- What is the role for autonomous vehicles and their energy impact?
- Will self-driven cars reduce or increase congestion, pollution, and greenhouse gases?
- How can the auto industry be moved toward a set of real-world emissions standards, and away from the test-focused approach we now follow, with all the defects of those approaches?
- Will the auto industry survive the invasion of Google, Uber, and Apple? Can auto companies keep up with the technological change?
- Will the auto companies face further consolidation?
- What is the role of China, the world's largest auto market, in this transition?
- How essential is required advances in battery technology for wide adoption of electric vehicles? Is installation of a new system of charging stations feasible, and will industry take this on?
- Does the government have a role in incentivizing or accelerating the shift toward these new paradigms? Do federal and state tax credits for electric vehicles make sense? Should electric car owners pay more fees to support infrastructure since they pay less gas taxes? States are reducing their incentives for electric cars—will this affect demand?
- What role do CAFÉ standards play in these changes?
- How can the federal government build a set of policies that give clear signals to automakers, steadily drive down pollution, promote new technology, and protect the consumer?

**Ulrich Eichhorn**, Director of Research & Development,  
Volkswagen Group, Wolfsburg, Germany

**Drew Kodjak**, Executive Director,  
International Council on Clean Transportation, Washington, DC

10:30 am

Break



- 10:45 am Roundtable Discussion Continues
- 12:30-1:30 pm **POLICY REFLECTIONS FROM MEMBERS OF CONGRESS**
- 1:30-2:30 pm Working Luncheon  
Discussion continues between members of Congress and scholars on the challenges for the U.S. policy on energy and environmental issues.
- 3:00-4:30 pm *Individual Discussions*  
Members of Congress and scholars meet individually to discuss U.S. energy policy. Scholars available to meet individually with members of Congress for in-depth discussion of ideas raised in the morning and luncheon sessions include Ulrich Eichhorn and Drew Kodjack.
- 6:30-8:30 pm Working Dinner  
Scholars and members of Congress will explore topics covered in the conference. Seating is arranged to expose participants to a diverse range of views and provide opportunity for a meaningful exchange of ideas. Scholars and lawmakers are rotated daily. Scholars and Members of Congress will discuss the electrification revolution of automobiles as well as other key topics discussed during the conference.

**TUESDAY, August 15:**

US Participants return to the United States

Rep. Ted Budd and Mary Kate Budd depart Oslo at 8:25 am on IcelandAir 317 and arrive in Greensboro, NC at 7:16 pm on United 4869.